

DB Platinum IV

Société d'investissement à capital variable

Registered Office: 11-13, boulevard de la Foire, L-1528 Luxembourg

R.C.S. Luxembourg: B-85.829

(the "Company")

Important Notice to Shareholders

Data protection information in accordance with the EU General Data Protection Regulation ("GDPR")

Dear Shareholder

The following information gives you an overview of the way in which we and other third parties may process your personal data and your rights under data protection law. The specific types of data processed, and how they are processed, are largely determined by the requested/agreed services in question.

1. Who we are

We are DB Platinum IV, with a registered address at 11-13, boulevard de la Foire, L-1528 Luxembourg, Grand Duchy of Luxembourg ("the **Company**"). The Company is a data controller in respect of your personal information that we process in connection with our business. In this Notice, reference to "we", "us" or "our" are references to the Company.

This Notice applies to you and to any third parties whose information you provide to us in connection with our relationship with you. Please ensure that a copy of this Notice is provided to any third parties whose personal data you provide to us. We may update this Notice from time to time and we will notify you in writing of any changes we make.

You, as an investor, remain responsible to inform any of your potential authorised representatives or beneficial owners about the existence and content of this Privacy Notice.

2. The Types of Personal Data that we Process

Due to our contractual relationship with you, or in order to fulfil our legal obligations, we must collect certain personal information from you. If you do not provide this personal data to us, we may not be able to process your investor application or otherwise maintain a business relationship with you or provide you with our services.

We process some or all of the following types of personal data:

Name, address/other contact details (telephone, physical address, email address), date/place of birth, gender, nationality, language, marital status, legal capacity, job title, work history, professional references, professional group key/type of partnership (employed/self-employed), identification data (e.g. ID card data), authentication data (e.g. specimen signature, password, PIN), tax ID, FATCA status, current occupation or previous occupation if relevant, detailed information on knowledge and/or

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experience with securities (MiFID status), investment behaviour/strategy (scope, frequency, risk appetite), financial status (assets, liabilities, income from employment/self-employment/trade or business, expenses), likely changes in financial circumstances (e.g. retirement), credit card number, bank account, income, tax information (e.g. liability to church tax), documentation data (e.g. investment advice records), phone recordings, messages.

3. Where do we obtain your personal information?

Personal Data may be collected, used and stored by us from the following sources:

- information provided verbally, electronically or in writing, including information provided on subscription forms, questionnaires and other forms provided by you or the organisation to which you belong;
- information that is generated in the course of the business of the Company;
- information obtained from international sanctions lists, publicly available websites, financial market infrastructures (including settlement service providers, central securities depositories, exchanges, central clearing counterparties and other similar entities) and other public data sources;
- the use of products and services and your relationship with us; and
- information that may be received from third parties, being any distributor or intermediary you have a relationship with.

4. Why do we process your data (purpose of processing) and on what legal basis?

Your personal data will be processed to process, manage and administer your holding(s) and any related accounts, on an ongoing basis. This includes the assessment of your application, the management of your investment and the provision of associated services to you (such as account statements or other communications relevant to your application or investment).

We process your personal data for the purposes above to the extent necessary to perform our contractual obligations to you.

In addition, we are subject to various legal obligations or statutory requirements as well as supervisory requirements (e.g. of the Luxembourg financial authority, *Commission de Surveillance du Secteur Financier* (the “**CSSF**”). We will process your personal data to the extent necessary to comply with our legal obligations, with such processing including (but not limited to) identity verification, prevention of fraud and money laundering and compliance with monitoring and reporting duties required by tax law.

We may also ask for your specific consent to the processing of your personal data for other specific purposes (e.g. to provide marketing or more general information about our services to you). You will have the right to withdraw such consent at any time by contacting the Company at the following e-mail address csdeutschebank@rbc.com or at the following telephone number +352 2605 9815.

We may also process your personal data in furtherance of our legitimate business interests, which include:

- Assertion of legal entitlements and defence in the event of legal disputes;
- Ensuring IT security and IT operations of the Company;
- Prevention of criminal acts;
- Measures for business control and the further development of products;
- Risk management;
- Account and distribution fee administration;
- Cash movements for transactions settlement purposes;
- Calculation and payment of trailer fees remuneration;
- Reconciliation of the cash accounts;
- Handling of fund events;

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- Monitoring and recording of calls and electronic communications for quality, security, training, investigation and fraud prevention purposes; and
- Production of quality and activity reports.

In assessing our legitimate interests listed above, we consider that the processing of your personal data in furtherance of these objectives does not prejudice your interests, fundamental rights or freedoms as a data subject.

Where processing is based on the furtherance of our legitimate interest, you have the right to object to this processing of your personal data.

5. Disclosure of your information

Your personal data will be disclosed to, and processed by, RBC Investor Services Bank S.A. (14, Porte de France L-4360 Esch-sur-Alzette, Grand Duchy of Luxembourg), which will be the administrator of your investment(s) for the purposes of this Notice, and is acting as registrar and transfer agent for the Company.

To the extent necessary to perform our services and fulfil our contractual obligations to you, we will disclose your personal data to:

- Deutsche Asset Management S.A., Luxembourg, acting in its capacity as the management company of the Company (2, boulevard Konrad Adenauer, L-1115 Luxembourg, Grand Duchy of Luxembourg) or any of their duly authorised agents;
- RBC Investor Services Bank S.A., acting in its capacity as depositary of the Company (14, Porte de France L-4360 Esch-sur-Alzette, Grand Duchy of Luxembourg) or any of their duly authorised agents;
- RBC Investor Services Bank S.A. acting as the administrator of the Company, including RBC Investor & Treasury Services established in Malaysia;
- Ernst & Young S.A., acting as the external auditor of the Company (35E, avenue John F. Kennedy L-1855 Luxembourg, Grand Duchy of Luxembourg) or any of their duly authorised agents;
- Deutsche Bank AG, London branch and Deutsche Asset Management (UK) Limited, each acting in its capacity as the distributor for the Company (Winchester House, 1 Great Winchester Street, London, EC2N 2DB) or any of their duly authorised agents;
- Other credit and financial services institutions, comparable institutions and order processors, to which we transfer personal data for the purpose of managing and administering the Company and managing our investment relationship with you;
- The CSSF and any other public or regulatory entities or institutions which we are required to disclose your data to pursuant to a legal requirement; and
- Other third parties including professional, legal or financial advisors, auditors and/or technology providers.

We take all reasonable steps to ensure the safety and integrity of your personal information and may, as appropriate, enter into contracts with such third parties to protect the privacy and integrity of any personal data supplied to us.

6. Keeping your information secure

We take all reasonable steps to ensure the safety, privacy and integrity of your personal data. We ensure that we have appropriate technical, organisational and security measures, appropriate to the sensitivity of your information to ensure it is secure.

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7. Transfers outside the European Economic Area

We may transfer your personal data to countries outside the European Economic Area if this is necessary for the performance of our contractual obligations to you, or to the extent that this is required by law.

For any transfers of your personal data outside the European Economic Area, we will ensure that appropriate safeguards are in place, such as:

- The country which we are transferring the Personal Data to may be approved by the European Commission as offering the adequate level of data protection; or
- Personal data will be transferred based on the recipient of the data signing 'standard contractual clauses' approved by the European Commission, obliging them to protect the personal data; or
- If the recipient is located in the United States, it must be a certified member of the EU-US Privacy Shield (or such equivalent scheme approved by the appropriate regulatory bodies from time to time).

We may also transfer your personal data to countries outside the European Economic Area where we have obtained your consent to do so. You will be entitled to withdraw such consent at any time.

You can obtain more information about data transfers, appropriate safeguards and standard contractual clauses by contacting us (see '**Questions and Concerns**').

8. How long is my data stored for?

We will retain your data for as long as our business relationship with you is continuing, which would typically be six years. We will also retain your personal data for as long as necessary to comply with any legal requirements. Your personal data will be retained by us for whichever period is longer however in any event no longer than necessary with regard to the purpose of the data processing or as required by law. If you have any questions, please contact us (see '**Questions and Concerns**').

9. What data protection rights do I have?

Under certain circumstances, by law you have the right to:

- **Request access** to your personal information (commonly known as a "data subject access request") free of charge. This enables you to receive a copy of the personal information we hold about you and to check that we are lawfully processing it;
- **Request correction** of the personal information that we hold about you. This enables you to have any incomplete or inaccurate information we hold about you corrected;
- **Request erasure** of your personal information. This enables you to ask us to delete or remove personal information where there is no good reason for us continuing to process it. You also have the right to ask us to delete or remove your personal information where you have exercised your right to object to processing (see below);
- **Object to processing** of your personal information, at any time and free of charge, where we are relying on a legitimate interest (or those of a third party) and there is something about your particular situation which makes you want to object to processing on this ground. You also have the right to object where we are processing your personal information for direct marketing purposes;
- **Request the restriction of processing** of your personal information. This enables you to ask us to suspend the processing of personal information about you, for example if you want us to establish its accuracy or the reason for processing it;

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- **Request the transfer** of your personal information to another party without hindrance from the Company unless where processing is based either on the Data Subject's consent or on a contract.

If you want to review, verify, correct or request erasure of your personal information, object to the processing of your personal data, or request that we transfer a copy of your personal information to another party, please contact us in writing (see '**Questions and Concerns**') in writing.

10. Questions and Concerns

There is no need to take any action as a result of receiving this letter. If you have any questions or concerns about how we handle your personal data, further information can be obtained from RBC Investor Services Bank S.A., acting in its capacity as administrator and transfer agent of the Company (tel.: +352 2605 9815, e-mail address: csdeutschebank@rbc.com).

If you are unhappy with how we are handling your personal data, or how we respond to a query/complaint about the processing of your personal data, you have the right to make a complaint in terms of data protection related issues with the relevant data protection authorities in the member state of the European Economic Area where you live or work, or where the alleged infringement of the General Data Protection Regulation or of the Luxembourg law of 02 August 2002 on the protection of persons with regard to the processing of personal data occurred. The competent authority in Luxembourg is the Luxembourg data protection authority, *Commission Nationale de la Protection des Données*, the CNPD with address at 1, avenue du Rock'n'Roll, L-4361 Esch-sur-Alzette (Tel.: (+352) 26 10 60-1, <https://cnpd.public.lu/en/droits/faire-valoir/formulaire-plainte.html>).